



**Ocean Exploration  
and Research**

**EX-16-02**  
**Mission System Shakedown/ CAPSTONE**  
**Mapping**  
**Cruise Report**

Remotely Operated Vehicle  
(ROV) and Mapping Exploration  
of the Hawaiian Islands Region

Cruise Dates:  
February 12 to 15, 2016

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**Abstract:**

During the winter repair and drydock period a number of new mission systems were installed on NOAA Ship *Okeanos Explorer*, including new sonar systems and a new VSAT. From February 12 to February 15, 2016 the team conducted shakedown tests of these new systems, tested data work flow and integrations, and prepared for the rest of the field season. EX-16-02 operations consisted of three ROV test dives and three nights of mapping operations with a primary focus on completing engineering priorities and testing new systems. The shakedown cruise ended earlier than expected, on February 15, when the ship returned to port due to a mechanical problem with the ship's Dynamic Positioning system.

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## 1. Introduction

NOAA's Office of Ocean Exploration and Research (OER) is the only federal organization dedicated to exploring our unknown ocean. OER works with partners to identify priority areas for exploration; support innovations in exploration tools and capabilities; and encourage the next generation of ocean explorers, scientists, and engineers. The publicly available data and information gained from our expeditions and the research we fund gives resource managers, the academic community, and the private sector the information they need to identify, understand, and manage ocean resources for this and future generations of Americans.

NOAA Ship *Okeanos Explorer* is the only federal vessel dedicated to exploring our largely unknown ocean for the purpose of discovery and the advancement of knowledge about the deep ocean. America's future depends on understanding the ocean. We explore the ocean to make valuable scientific, economic, and cultural discoveries, and we explore because ocean health and resilience are vital to our economy and to our lives. Exploration supports NOAA mission priorities and national objectives by providing high-quality scientific information about the deep ocean to anyone who needs it.

In close collaboration with government agencies, academic institutions, and other partners, OER conducts deep-ocean exploration expeditions using advanced technologies on the *Okeanos Explorer*. From mapping and characterizing previously unseen seafloor to collecting and disseminating information about ocean depths, this work helps to establish a foundation of information and fill data gaps. Data collected on the ship follow federal open-access data standards and are publicly available shortly after an expedition ends. This ensures the delivery of reliable scientific data needed to identify, understand, and manage key elements of the ocean environment.

## 2. Expedition Overview

The expedition was staged in and out of Honolulu, HI with operations beginning on February 12th and concluding on February 15, two days earlier than expected. The primary objective of this cruise was to shakedown mission systems and conduct personnel training to prepare for the 2016 field season. Operations used the ship's deep water mapping systems (Kongsberg EM302 multibeam sonar, EK60 split-beam fisheries sonars, Knudsen 3260 chirp sub-bottom profiler sonar, Teledyne RDI Workhorse Mariner and Ocean Surveyor ADCPs), NOAA's two-body 6,000 m remotely operated vehicle (ROVs Deep Discoverer and Seirios) system, and the ship's high-bandwidth satellite connection for real-time ship to shore communications. Two ROV dives were conducted during the day, and mapping operations occurred when the ROV was on deck and while systems were operable. Both ROV dives were conducted in water shallower than 1,000 m and in areas of flat seafloor. Mapping operations were conducted in areas southwest of Oahu where multibeam backscatter data collection was a high priority.

### A. Rationale for Exploration

The purpose of this expedition was to test mission systems in a controlled environment to

prepare for the rest of the field season. The dive locations were located nearshore and in areas of flat and benign seafloor.

## **B. Objectives**

EX-16-02 operations covered a small area offshore of Oahu. The primary goals for this cruise included: 1) testing mission systems and equipment in preparation for the field season, and 2) collecting baseline characterization data in the region.

Mission objectives for EX-16-02 included a combination of engineering and mapping operational objectives. The original objectives from the project instructions are defined below:

- i. Science
  - a. The primary objective of this expedition was to ensure that all mission systems were prepared for the field season, but video and environmental data were collected during all engineering dives.
- ii. ROV
  - a. Integrated ROV into ship systems and train new crew and mission personnel on equipment
  - b. Tested USBL alongside and completed USBL at sea calibration.
  - c. Trained team members on use of ROV manipulator's during operations
  - d. Ongoing system familiarization, documentation, and training
  - e. Tested new ROV systems
- iii. Telepresence
  - a. Tested terrestrial and high-speed satellite links
  - b. Supported telepresence-enabled operations
  - c. Continued to refine protocols for the new WOWZA servers at the Inner Space Center
  - d. Continued to refine protocols for using YouTube live to host live video
  - e. Installed and tested new video editing and compression computers and software
  - f. Developed protocols and procedure for using the Telestream video recording suite
  - g. Continued testing of new VSAT
- iv. Mapping
  - a. Continued to test and shakedown new sonar systems and workflows
  - b. Supported ROV operations with mapping products and expertise
  - c. Conducted mapping operations during transits and overnight in priority areas as dictated by operational needs as well as science and management community needs
  - d. Collected XBT at regular intervals no longer than 6 hours, as data quality requires, during mapping operations
  - e. Created daily standard mapping products
  - f. Installed the HMRG Seafloor Mosaic Display software.
- v. CTD operations
  - a. No CTD rosette operations occurred during this mission.
- vi. Data Management

- a. Operation of newly integrated Open Vessel Data Management (OpenVDM) software was tested and QA/QC'ed
  - b. Completed shakedown of deck-to-deck video recording system
  - c. Verified integration of new video editing workstations
  - d. Test Mapping and Telepresence systems to ensure updated were properly integrated
- vii. Outreach
- a. Completed ship tours for the Big Ocean Network, NOAA regional staff, and CAPSTONE partners.

Only two dives were completed on the expedition before the ship had to return to port for repairs to the dynamic positioning system. Three dives were cut from the original operation plan and a deep engineering dive was never conducted due to the early return to port. Multibeam mapping was not conducted on the first night of the expedition due to an issue with one of the TRU boards, which has since been replaced. No sun photometer measurements were collected, the UCTD was not installed, and the HMRG software was not installed on the ship before the start of EX-16-02. HMRG software was successfully installed during the post-cruise in port period.

### 3. List of participants

At-sea mission personnel:

Name	Role	Affiliation
McKenna, Lindsay	Expedition Co-Coordinator & Mapping Team Lead	NOAA OER (ERT, Inc.)
Cantwell, Kasey	Expedition Co-Coordinator	NOAA OER (CollabraLink Technologies, Inc.)
Freitas, Dan	Mapping Watch Leader	UCAR
Bittinger, Amanda	Mapping Watch Leader	UCAR
Mohr, Bobby	ROV Engineer	GFOE
Unema, Levi	ROV Engineer	GFOE
Brian, Roland	Video Engineer	GFOE
Lanning, Jeff	ROV Engineer	GFOE
McLetchie, Karl	ROV Engineer	GFOE
Gregory, Todd	ROV Engineer	GFOE
Carlson, Joshua	ROV Engineer/ Data Manager	GFOE

Ritter, Chris	ROV Engineer	GFOE
Lister, Andy	ROV Engineer	GFOE
Durbin, Mike	Satellite Engineer	GFOE
Rogers, Dan	Video Engineer	GFOE
Smithee, Tara	Video Engineer	GFOE
McNichol, Ed	Video Engineer	GFOE
Pawlenko, Nick	ROV Engineer	NOAA OER
Biscotti, Joe	Video Engineer	GFOE
Sean Kennison	ROV Engineer	GFOE

Shore-based science team:

*Shore based science team members participate from remote exploration command centers and from their home locations. Further information about the participation model/ mode can be found in Section 3 D of this report.*

Name	Affiliation	Email	Expertise	Participation Location/Mode
Chris Kelley	Univ of Hawaii at Manoa	<a href="mailto:ckelley@hawaii.edu">ckelley@hawaii.edu</a>	Benthic biology	University of Hawaii
Frank Cantelas	NOAA OER	<a href="mailto:Frank.Cantelas@noaa.gov">Frank.Cantelas@noaa.gov</a>	Marine archaeology	Silver Spring

## 4. Methods

### A. Equipment

#### i. ROV

OER on *Okeanos Explorer* conducts high-resolution visual surveys to obtain baseline characterization data using NOAA's custom-built, dual-body, 6,000-meter-rated ROVs *Deep Discoverer* (D2) and *Seirios*. D2 has 5 high definition cameras, 5 standard definition cameras, and 24 LED lights that bring 144,000 lumens to the seafloor resulting in some of the highest quality deep sea footage in the industry. D2 also has four custom built lighting swing arms that that allow for the position and angle of the light to be adjusted for optimal imaging. *Seirios* has 1 high definition cameras, 5 standard definition cameras, and 18 LED lights that add 108,000 lumens to D2's lighting. The vehicles work in tandem, with D2 surveying the seafloor,

and Seirios providing additional lighting and situational awareness, as well as dampening the movement of the ship. Both vehicles also have a Sea Bird 9/11+ CTD with dissolved oxygen (DO) sensors. At the beginning of every dive, the HD video cameras on D2 are color corrected and white balanced.

Prior to the start of EX-16-02, there was a catastrophic failure of the computer system that records ROV position information, dive track data, and ROV CTD data. This computer underwent troubleshooting throughout the cruise to return functionality, but these efforts were unsuccessful. The computer was replaced, however all of the automated scripts and data pathways needed to be rewritten so no position information or ROV CTD data was recorded during the two ROV dives of this cruise.

#### *Video Data Processing*

The primary data set collected by the ROVs is high definition video. The video is recorded and archived in several different formats and resolutions. The dives are recorded in their entirety at 720p 5 megabit per second. In addition to the full dive recording, a subset of the video collected is preserved in ProRes 4.2.2. 1080i 145 mega bit per second. These clips represent vast majority of the events of the dives and capture nearly all the geological formations and organisms that are observed. The video clips are time coded to UTC time to coordinate with all data products collected on the ship. For discoverability, each ProRes clip is compressed and at least 1 frame grab is taken from the clip to allow for easy access and searching without having to deal with the sheer volume of data associated with the ProRes clips.

#### **ii. Sample Collection and Processing**

No samples were collected on this cruise.

#### **iii. Sonars**

*Okeanos Explorer* has eight scientific sonars that were operated during mapping operations: a Kongsberg 30 kHz multibeam system, Kongsberg split-beam fisheries sonars (18, 70, 120, and 200 kHz), a Knudsen 3.5 kHz chirp sub-bottom profiler sonar, a Teledyne RDI Ocean Surveyor (38 kHz) ADCP, and a Teledyne RDI Workhorse Mariner (300 kHz) ADCP. Mapping operations onboard *Okeanos Explorer* occurred continuously throughout the day and night except when the ROV is deployed or when the ship was stopped for equipment troubleshooting.

#### *EM302*

*Okeanos Explorer's* EM302 30 kHz multibeam sonar is used to collect seafloor bathymetry, seafloor backscatter, and water column backscatter. Backscatter represents the strength of the acoustic signal reflected from some target, whether that's the seafloor or bubbles in the water column. The EM302 is a deep water multibeam system designed to map in depths ranging from approximately 200-7,000 meters. The system generates a 150° beam fan containing up to 432 soundings per ping in waters deeper than 3000 meters. In waters less than 3000 meters, the system is operated in multiping, or dual swath mode, and obtains up to



864 soundings per ping, by generating two swaths per ping cycle.

#### *Single Beam Sonars*

The Kongsberg EK 60 (18, 70, 120, and 200 kHz) single beam sonars are used to collect information about the water column, such as gas plume or seep sites, and to obtain information about biomass. The 18 kHz transducer and transmits a 7° beam fan. The EK60 split-beam sonars are used as a quantitative scientific echosounder to identify water column acoustic reflectors - typically biological scattering layers, fish, or gas bubbles – providing additional information about water column characteristics and anomalies.

#### *Subbottom Profiler*

The primary purpose of the Knudsen Chirp 3260 (3.5 kHz) sonar is to provide echogram images of surficial geological sediment layers underneath the seafloor to a maximum depth of about 80 meters below the seafloor. The Sub Bottom Profiler is normally operated to provide information about the sedimentary features and the bottom topography that is simultaneously being mapped by the multibeam sonar. The data generated by this sonar is fundamental in helping geologists interpret the shallow geology of the seafloor.

#### *Surveyor Acoustic Doppler Current Profiler*

The ship is equipped with a 38 kHz Teledyne RDI Ocean Surveyor Acoustic Doppler Current Profiler (ADCP) and a 300 kHz Teledyne RDI Workhorse Mariner ADCP. The Ocean Surveyor can measure currents to approximately 1000 m, while the Workhorse Mariner has an approximately 70 m range.

#### *XBTs*

Expendable bathythermographs (XBTs) were deployed to obtain sound velocity profiles to help calibrate the multibeam system and ensure accurate bathymetric mapping. The XBT type is the Deep Blue probe produced by Lockheed Martin Sippican. Expendable bathythermographs were collected every three to six hours at an interval defined by prevailing oceanographic conditions to correct multibeam data for changes in sound speed in the water column, and were applied in real time using Seafloor Information Software (SIS). Sound speed at the sonar head was determined using a Reson SVP-70 probe, and salinity measurements near the transducers were taken using the ship's flow-through thermosalinograph (TSG).

#### *Data Acquisition*

Throughout the cruise, multibeam data quality was monitored in realtime by acquisition watch standers. Line spacing was planned to ensure 25-30% overlap between adjacent lines of multibeam sonar swaths. Cutoff angles in SIS were generally set between 60° and 70° on both the port and starboard sides. Ship speed was adjusted to maintain data quality as necessary and as transit time to the next dive site allowed.

All multibeam sonar data collected during the expedition was fully processed according to established onboard procedures and was archived with the National Center for Environmental Intelligence (NCEI, formerly NGDC). Additional details

about data archival can be found in Section 6 of this report. Raw multibeam bathymetry data files were acquired by SIS, and were imported into CARIS. In CARIS, attitude and navigation data stored in each file were checked, and erroneous soundings were removed using CARIS Swath Editor and Subset Editor. Once per day, cleaned, gridded bathymetric data were exported to ASCII text files (y,x,z) at 50 meter cell size in WGS84 datum. The ASCII files were then used to create Fledermaus SD objects. These SD objects were then exported to geotiff and Google Earth KMZ files, which were copied to the shoreside FTP on a daily basis to support shoreside scientist participation.

For more detailed information about the sonar systems, see 2016 Okeanos Explorer Readiness Report.

**iv. Eventlog**

During ROV dives participating researchers communicate between ship and shore using an eventlog. The eventlog is a persistent chat room where all comments, discussions, and requests are logged and provided a timestamp (UTC) that can later be correlated to the operations, location, and data feeds collected by the ship. The chat server facilitates the first-order annotation of cruise activities, serving as a digital version of scientists' daily logs and enabling input from multiple users. Eventlog users are encouraged to use "dive codes" which are 3-5 letter short hand codes that are used to standardize and speed the recording of observations in the eventlog. The most current set of dive codes can be found here <http://oceanexplorer.noaa.gov/okeanos/collaboration-tools/im-eventlog/dive-codes.html>

**B. Survey of Opportunity**

No surveys of opportunity were conducted on this cruise. The ship was located too close to shore to collect measurements for the ongoing NASA Aersols Survey of Opportunity partnership.

**C. Operating Model**

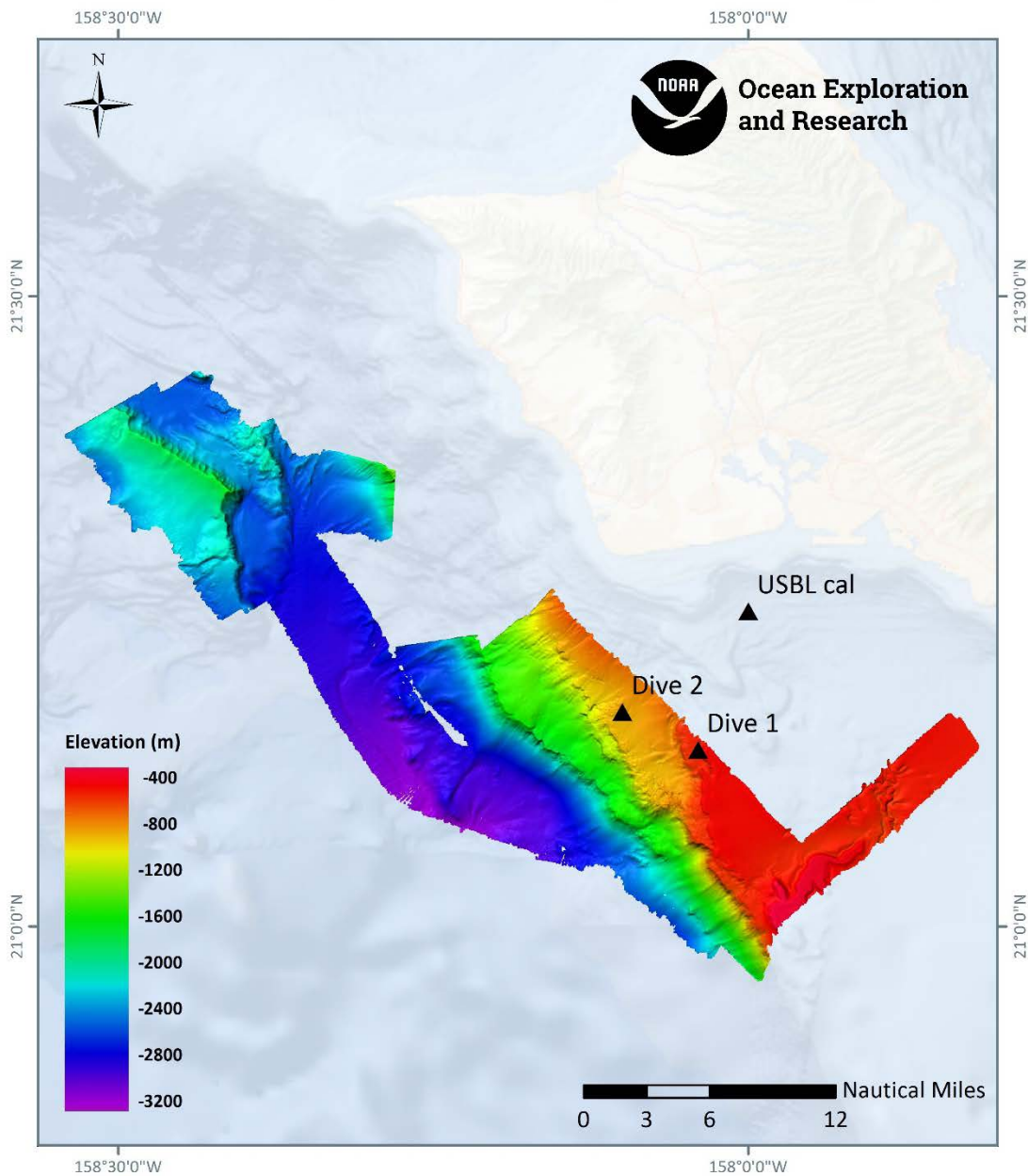
This expedition was a shakedown cruise with a focus on meeting engineering objectives and integrating new equipment, so there was no formal telepresence science participation. Chris Kelley and Frank Cantelas served as "doctors on call" to provide relevant expertise when planning operations and choosing dive sites in case shakedown objectives were completed early and seafloor exploration was possible. Due to the issues with dynamic positioning and ongoing training of new ROV pilots, this largely did not occur.

**D. Permits/Clearances**

No permits or clearances were required for this cruise.

## 5. Summary of Operations

### EX-16-02 Mission System Shakedown/ CAPSTONE Mapping



Map created by NOAA Office of Ocean Exploration and Research (NOAA-OER). Service Layer Credits: Esri, DeLorme, GEBCO, NOAA NGDC, and other contributors

Figure 1: Summary map of EX-16-02 operations.

#### A. Operations Overview

Operations on *Okeanos Explorer* are conducted 24 hours-a-day. During EX-16-02, ROV operations were conducted during the daylight hours, with mapping operations conducted during non-ROV hours. During EX-16-02, the vehicles conducted 2 of 4 planned dives at

depths ranging from 450 to 1000 meters. The ROVs spent a collective 11.5 hours in the water and approximately 10 hours on the seafloor. No physical samples were collected on this expedition. Non- ROV operations included EM 302 multibeam, EK 60 singlebeam, Knudsen subbottom profile, and limited ADCP data collection. Mapping operations were conducted overnight and when the ROV was on-deck during the day. 400 linear kilometers and 1050 square kilometers were mapped with the EM302 multibeam. Five XBT casts were conducted.

Following the second ROV dive, there was a critical failure with the ship’s dynamic positioning system that prevented safe ROV operations. After a full day of troubleshooting the system on February 14th, the decision was made to return to port to await a technician to repair the system.

Mapping operations were initiated as soon as the ROV was recovered each day and continued throughout the night until ROV deployment the following day. Simrad EK60 split-beam, and Knudsen 3260 sub-bottom profiler sonar data were collected simultaneously during the first night of mapping operations. The Kongsberg EM302 multibeam was run simultaneously to the EK60 split-beam and Knudsen sub-bottom profiler sonars on the second and third days of the cruise. To ensure high quality multibeam data, sound speed profiles of the water column were obtained using expendable bathythermographs (XBTs) every few hours during mapping operations. Five XBT casts were completed during the expedition.

## B. Calendar of Events

February						
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
<b>7</b> Mission personnel being arriving	<b>8</b> Begin mobilization; Mission personnel arrive	<b>9</b> Mobilization; Mission personnel arrive	<b>10</b> Mobilization	<b>11</b> Mobilization; Ships tours	<b>12</b> Departed at 1030; Dive01 conducted; overnight mapping with EK60 suite, Knudsen, and 300 kHz ADCP	<b>13</b> Dive02 conducted; problems with DP experience during vehicle launch and recovery; overnight mapping with EK60 suite, Knudsen, and EM302

<b>14</b> Dive canceled; conducted at sea DP testing; afternoon and overnight mapping operations with EK60 suite, Knudsen, and EM302	<b>15</b> Pulled into port in the morning; ROV team conducted training and cruise wrap up; all sonars secured for alongside	<b>16</b> Dockside emergency and regular recovery practice; EM302 troubleshooting, replaced dead CPU board battery	<b>17</b> Dynamic Positioning Technician arrived and began troubleshooting the system. Mission personnel begin to depart			
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### C. Expedition Daily Log

All times are listed in local ship time, which was - 10 hours from UTC.

#### February 12, 2016 –

A number of repairs and preparations for the shakedown were made during the inport period. The ROV team and ship also spent time training new personnel. The ship departed at 1030 and steamed for the first dive site. Dive 01 was an overall success with most systems passing shakedown tests. Systems with errors were troubleshot overnight and will be tested tomorrow. Overnight mapping was conducted with only EK60s and SBP due to an issue with the Multibeam. Priority mapping objective to remap the S-28 target site was not accomplished and will be attempted again tomorrow night.

#### February 13, 2016 -

Dive 02 was conducted to allow the engineering team additional shakedown time and new personnel training. During launch and recovery the ship experienced significant issues with the DP system due to the unreliable response of the system communications between the bridge and the aft station. The vehicles were recovered safely and troubleshooting on the system began. Troubleshooting the EM302 continued, with the issue of unresponsive TRU seemingly resolved although one of the status lamps still red.

#### February 14, 2016 –

ROV Dive 03 was canceled due to continued problems with the DP system. We spent the day troubleshooting the DP system and completing mapping objectives. Once the Chief ET had exhausted all possible solutions while still on the ship and confirmed with Kongsberg, the decision was made to return to port in the morning as the current status of the DP system poses a significant risk to the vehicles if used for launch and recovery.

#### February 15, 2016 -

The ship pulled into port in the morning as the status of the DP system compromised the safety of ROV operations. Once inport, the ROV team conducted pilot training on the manipulator arm and

cruise wrap up. Mapping operations were conducted overnight prior to returning to port.

**February 16, 2016 -**

Dockside emergency and regular recovery practice with the entire deck crew and ROV team was carried out in the morning. We ran through the entire emergency recovery process and successfully recovered D2. Mapping Lead and CET spent the day troubleshooting the EM3012. The problems with the TRU were diagnosed to be a dying BIOS battery in the CPU board. After installing replacement boards and updating the configurations in SIS, we successfully connected the TRU and pinged at the dock.

#### D. ROV Dive Table

<b>Dive #</b>	<b>Site Name</b>	<b>Date</b>	<b>Region</b>	<b>Location **</b>	<b>Approximate depth (m)</b>	<b>Approximate Length (HH:MM:SS)</b>	<b>Focus</b>
01	Engineering 1	2/12/2016	South Oahu	21.14 N, -158.04 W	400	03:30:00	System Shakedown Tests
02	Engineering 2	2/13/2016	South Oahu	21.17 N, -158.10 W	1000	08:00:00	System Shakedown Tests

\*\* Note – Position, dive time, and depth information is approximate as there was a failure with the ROV data acquisition and recording system. Additional information about this malfunction can be found in the dive summary forms in Appendix B.

## 6. Summary of Findings

### Dive 01

Dive site was rippled sand with few surface falls (leaves, wood). Biological observations included a few fish (including Ophichthyidae), an asteroid, broken urchin test, and a worm. A strong current prevailed throughout the dive.

### Dive 02

Dive 02 was conducted over what looked like weathered or eroded carbonate with soft sediment built up in between outcrops. Biological observations included solitary hydroids, siphonophores, octocorals, sponges, brisingid sea stars, a sea pen, crinoids on a chrysogorgid octocoral, fish in the water column, anemones, a shrimp, a rattail, and eels. Relative abundance was highest for the solitary hydroids or all organisms observed during this dive. Despite availability of hard substrate, and a good depth for deep sea corals and sponges, encrusting biota was observed only occasionally. This is potentially due to the low slope of the area surveyed.

## 7. Summary of Mapping

Mapping operations were conducted in the region of the dive sites. The primary objective was collecting high resolution backscatter over an area where the S-28 wreck might have occurred and expanding EM302 coverage of the I23 site to the south, edge matching existing multibeam data collected on the R/V *Falkor*. There was an issue with the EM302, so only two days of multibeam data were collected. Mapping data was collected with the EK60 sonars and the subbottom profile throughout the cruise during mapping operations. Figure 2 shows the tracklines of each sonar.



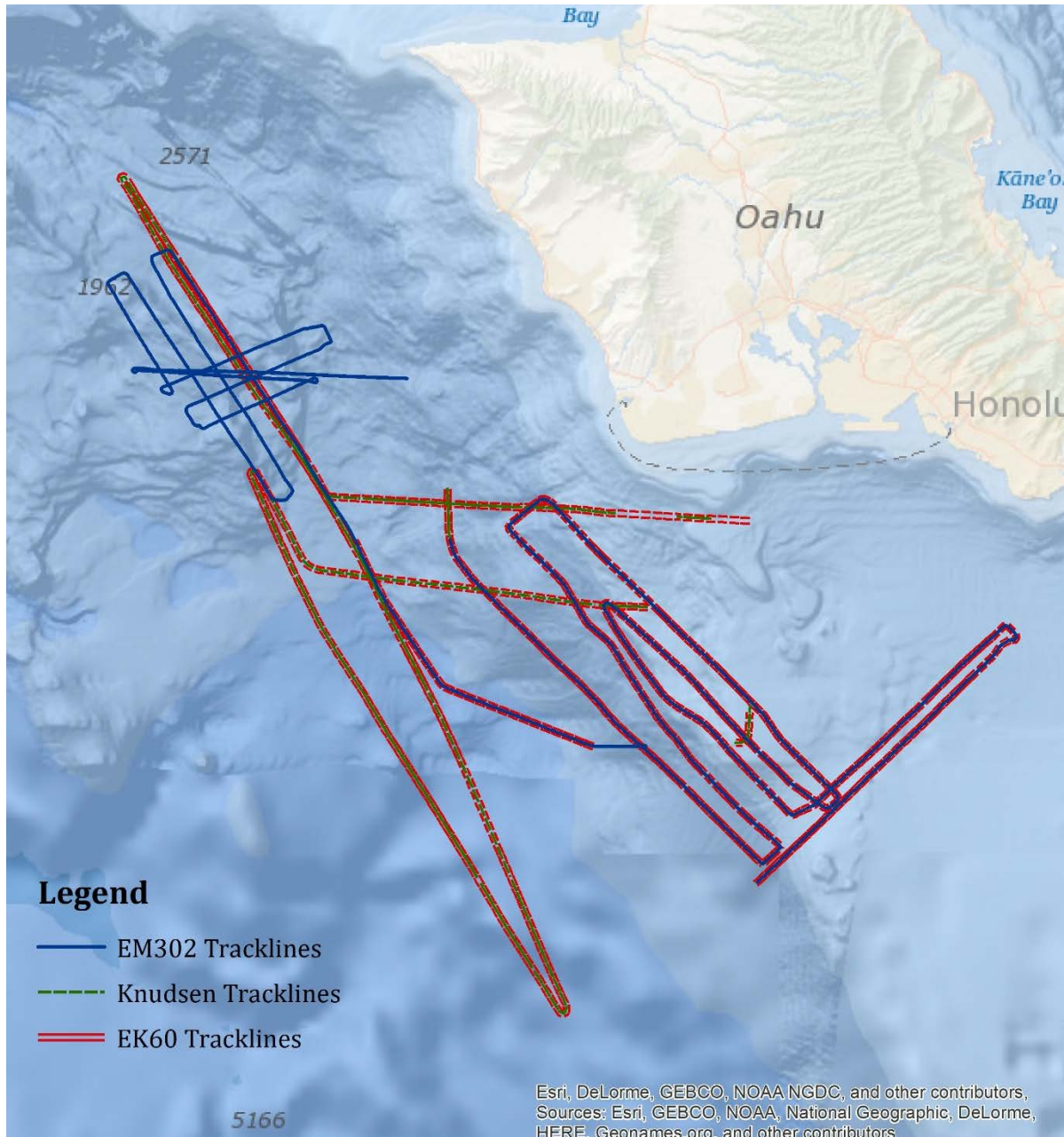


Figure 2. Tracklines from the EM302 multibeam, Knudsen subbottom profiler, and EK60 fisheries sonars.

### A. Sonar Data Quality Assessment

The first evening of the cruise, the EM302 Transceive Unit (TRU) would not connect to the data acquisition software, Seafloor Information Systems (SIS), so no multibeam data collected. The TRU and SIS did connect on the second evening, but there was a warning light about the transmit boards illuminated. The data quality looked high, so the system was left running to collect data. The connection and warning light problems were later diagnosed as a dead bios battery in the central processing unit (CPU) board. The board was replaced with a spare and then ran as expected with the newly installed board.

Bathymetry and backscatter data quality from the EM302 were high. Data quality from the other sonars was also high during the cruise. Raw data and select data products are achieved with NCEI (Appendix C).

### ***Crosslines***

Crossline analysis was conducted using surface differencing in Caris. Two reference surfaces were computed, the first using multibeam line 0017, run in the E/W direction. The second using line 0006 oriented N/S, Figure 3. The two surfaces were differenced, and statistics were computed based on the differences. The attribute value bin sized used for the differencing was 1 m. The elevation ranges of the surfaces were -1,700 to -2,700 m.

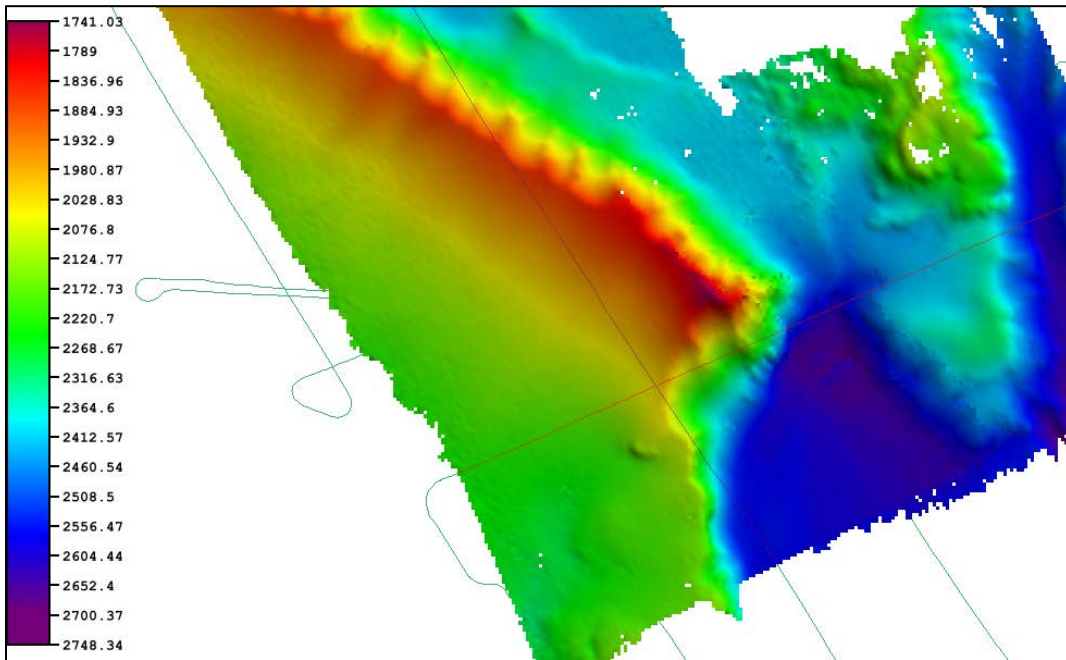


Figure 3. Reference surface used in cross-line analysis. Lines used for analysis are shown in brown. Depths are in meters.

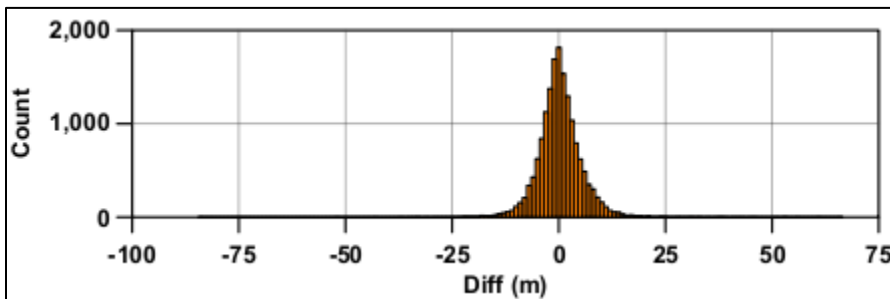


Figure 4. Difference histogram. The water depth of the cross-line analysis ranged from 1700 m to 2700 m. Statistics of the differencing are shown below.

### **Crossline Statistics**

Minimum: -84.4 m  
Maximum: 66.4 m  
Mean: 0.2 m  
Area: N/A  
Standard Deviation: 5.4 m  
Total count: 16,291

## **B. Mapping Statistics**

Dates	Feb 12-15, 2016
Line kilometers of survey with EM302	404
Square kilometers mapped with EM302	1,050
Number / Data Volume of EM 302 raw bathymetric / bottom backscatter files	49 files / 2.48 GB
Number / Data Volume of EM 302 water column multibeam files	49 files / 9.05 GB
Number / Data Volume of EK 60 water column singlebeam files	511 files / 3.10GB
Number / Data Volume of subbottom sonar files	36 files / 637 MB
Number of XBT casts	5
Number of CTD casts (including test casts)	0

## **8. Data Deposition and Archival**

The complete 2016 Field Season *Okeanos Explorer* Data Management Plan can be found in the Data Management Report, accessible through [http://service.ncddc.noaa.gov/rdn/oer-waf/media/docs/EX\\_FY16\\_DMP.pdf](http://service.ncddc.noaa.gov/rdn/oer-waf/media/docs/EX_FY16_DMP.pdf). The EX-16-02 Data Management Plan can be found in Appendix A.

### **A. OER Data Discoverability Tools**

All data collected by *Okeanos Explorer* are archived and publically available within 90 days of the end of each cruise via the National Center for Environmental Intelligence (NCEI, formerly NGDC) online archives. Data can be accessed via the following websites:

OER Digital Atlas at [http://www.ncddc.noaa.gov/website/google\\_maps/OE/mapsOE.htm](http://www.ncddc.noaa.gov/website/google_maps/OE/mapsOE.htm)

OER ROV Data Archives at <http://service.ncddc.noaa.gov/rdn/oer-rov-cruises>

Additional data requests are handled through the NOAA Ocean Exploration and Research Program Data Access Request Form which can be found here:

<https://docs.google.com/a/noaa.gov/forms/d/1pU3jbcV5ffunMKUbygnA2OK-ZT9qj2Dh6JgZ79TTORM/viewform?formkey=dHAycC1MYndJb0hTdGRaYXAzVTVBdWc6MA&fromEmail=true>

## **B. Sonar Data**

Sonar data collected onboard *Okeanos Explorer* undergoes QA/QC after a cruise and is then made publicly available through the OER Data Discoverability Tools, the National Archives, and the following websites:

- NGDC Interactive Bathymetry Data Viewer at <http://maps.ngdc.noaa.gov/viewers/bathymetry/>
- NGDC Interactive Multibeam Data Viewer at <http://maps.ngdc.noaa.gov/viewers/multibeam/>
- NGDC Interactive Water Column Data Viewer at [http://maps.ngdc.noaa.gov/viewers/water\\_column\\_sonar/](http://maps.ngdc.noaa.gov/viewers/water_column_sonar/)

## **C. Physical Samples**

No physical samples were collected on this cruise.

## **9. Additional Information**

Daily Situation Reports, internal operational records, are also on file with OER. For questions, please contact OER.

## 10. Appendices

### APPENDIX A: Data Management Plan

#### Data Management Plan

#### Okeanos Explorer (EX1602): Mission System Shakedown/CAPSTONE Mapping



#### *OER Data Management Objectives*

*Integrate new systems into data management pipelines; test deck-to-deck telestream video recording system; train onboard sampling operations assistant to use EX SODA; protect data from marine archaeology dive, if underwater cultural heritage is located; confirm that the new video compression routines (naming, consolidation, push, etc) are working on the new VES stations; verify that the recalibrated ROV environmental sensors are accurate; compare CTD systems and compare vertical profiles from recovery to a ship CTD cast; ensure that ROV navigation is being captured; verify that all cameras on the submersibles have been added to the camera list; add camera angle nmea string transmission to SCS feed;*

27-Jan-16

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#### **1. General Description of Data to be Managed**

##### **1.1 Name and Purpose of the Data Collection Project**

Okeanos Explorer (EX1602): Mission System Shakedown/CAPSTONE Mapping

##### **1.2 Summary description of the data to be collected.**

Operations will use the ship's deep water mapping systems (Kongsberg EM302 multibeam sonar, EK60 split-beam fisheries sonars, Knudsen 3260 chirp sub-bottom profiler sonar, Teledyne RDI Workhorse Mariner and Ocean Surveyor ADCPs), NOAA's two-body 6,000 m remotely operated vehicle (ROVs Deep Discoverer and Seirios) system, CTD rosette, and the ship's high-bandwidth satellite connection for real-time ship to shore communications. ROV dives will mostly be conducted during the day, while CTD casts, and multibeam, singlebeam, and sub-bottom acoustic mapping will occur when the ROV is on deck. Exact locations of discrete ROV dives and mapping operations will be determined during the cruise as results of engineering and shakedown tests are evaluated and once weather and operational constraints are factored in.

##### **1.3 Keywords or phrases that could be used to enable users to find the data.**

Davisville, expedition, exploration, explorer, Hawaiian Islands, Kaiwi Channel, Kealaikahiki Channel, Lanai Island, mapping survey, marine education, Mission Shakedown, Molokai Fracture Zone, Molokai Island, multibeam, multibeam backscatter, multibeam sonar, multi-beam sonar, Murray Fracture Zone, noaa, noaa fleet, ocean, ocean discovery, ocean education, ocean exploration, ocean exploration and research, ocean literacy, ocean research, OER, okeanos, okeanos explorer, Penguin Bank, R337, Rhode Island, science, scientific computing system, scientific mission, scientific research, SCS, sea, single beam sonar, singlebeam sonar, single-beam sonar, stewardship, sub-bottom profile, systematic exploration, technology, transformational research, undersea, underwater, Waianae Slump, water column backscatter

##### **1.4 If this mission is part of a series of missions, what is the series name?**

Okeanos Mapping Cruises

##### **1.5 Planned or actual temporal coverage of the data.**

Dates: 2/12/2016 to 2/17/2016

Okeanos Explorer (EX1602): Mission System Shakedown/CAPSTONE Mapping

**1.6 Planned or actual geographic coverage of the data.**

Latitude Boundaries: 20.5 to 21.5

Longitude Boundaries: -158.8 to -156.5

**1.7 What data types will you be creating or capturing and submitting for archive?**

Cruise Plan, Cruise Summary, Data Management Plan, Highlight Images, Quick Look Report, Bottom Backscatter, CTD (raw), Dive Summaries, EK60 Singlebeam Data, Expedition Cruise Report, Highlight Video, Images, Mapping Summary, Multibeam (image), Multibeam (processed), Multibeam (product), Multibeam (raw), NetCDF, Raw Video (digital), Sample Analysis Reports, Sample Logs, SCS Output (compressed), SCS Output (native), Sub-Bottom Profile data, Water Column Backscatter, XBT (raw)

**1.8 What platforms will be employed during this mission?**

Deep Discoverer ROV, NOAA Ship Okeanos Explorer, SEIRIOS Camera Sled

**2. Point of Contact for this Data Producing Project**

Overall POC: Lindsay McKenna, Physical Scientist, NOAA Office of Ocean Exploration and Research, Lindsay.McKenna@noaa.gov

Title: Principal Investigator

Affiliation/Dept: University of New Hampshire CCOM/JHC

E-Mail: lindsay.mckenna@noaa.gov

Phone: 603-862-5246

**3. Point of Contact for Managing the Data**

Data POC Name: Susan Gottfried

Title: OER Data Management Coordinator

E-Mail: susan.gottfried@noaa.gov

**4. Resources**

4.1 Have resources for management of these data been identified? True

4.2 Approximate percentage of the budget devoted to data management. (specify % or "unknown")  
unknown

**5. Data Lineage and Quality****5.1 What is the processing workflow from collection to public release?**

SCS data shall be delivered in its native format as well as an archive-ready, documented, and compressed NetCDF-4 format to NCEI-MD; multibeam data and metadata will be compressed and delivered in a bagit format to NCEI-CO.

**5.2 What quality control procedures will be employed?**

Quality control procedures for the data from the Kongsberg EM302 is handled at UNH CCOM/JHC. Raw (level-0) bathymetry files are cleaned/edited into new data files (level-1) and converted to a variety of products (level-2).

Okeanos Explorer (EX1602): Mission System Shakedown/CAPSTONE Mapping

Data from sensors monitored through the SCS are archived in their native format and are not quality controlled. Data from CTD casts and XBT firings are archived in their native format and are not quality controlled. CTDs are processed into profiles for display only on the Okeanos Atlas.

## 6. Data Documentation

**6.1 Does the metadata comply with the Data Documentation Directive?** True

**6.1.1 If metadata are non-existent or non-compliant, please explain:**

not applicable

**6.2 Where will the metadata be hosted?**

Organization: An ISO format collection-level metadata record will be generated during pre-cruise planning and published in an OER catalog and Web Accessible Folder (WAF) hosted at NCEI-MS for public discovery and access. The record will be harvested by data.gov.

URL: [www.ncddc.noaa.gov/oer-waf/ISO/](http://www.ncddc.noaa.gov/oer-waf/ISO/)

Meta Std: ISO 19115-2 Geographic Information with Extensions for Imagery and Gridded Data will be the metadata standard employed; a NetCDF-4 standard for oceanographic data will be employed for the SCS data; the Library of Congress standard, MACHine Readable Catalog (MARC), will be employed for NOAA Central Library records.

**6.3 Process for producing and maintaining metadata:**

Metadata will be generated via xml editors or metadata generation tools.

## 7. Data Access

**7.1 Do the data comply with the Data Access Directive?** True

**7.1.1 If the data will not be available to the public, or with limitations, provide a valid reason.**

Some data may be subject to the National Historic Preservation Act of 1966. All other data will not be restricted.

**7.1.2 If there are limitations, describe how data are protected from unauthorized access.**

Account access to mission systems are maintained and controlled by the Program. Data access prior to public accessibility is documented through the use of Data Request forms and standard operating procedures.

**7.2 Name and URL of organization or facility providing data access.**

Org: National Centers for Environmental Information

URL: [explore.noaa.gov/digitalatlas](http://explore.noaa.gov/digitalatlas)

**7.3 Approximate delay between data collection and dissemination. By what authority?**

Hold Time: No, data shall be released as soon as possible except for those data protected under the National Historic Preservation Act

Authority:

**7.4 Prepare a Data Access Statement**

No data access constraints, unless data are protected under the National Historic Preservation Act of 1966.

## 8. Data Preservation and Protection

**8.1 Actual or planned long-term data archive location:**

Okeanos Explorer (EX1602): Mission System Shakedown/CAPSTONE Mapping

Data from this mission will be preserved and stewarded through the NOAA National Data Centers. Refer to the Okeanos Explorer FY14 Data Management Plan at NOAA's EDMC DMP Repository (EX\_FY14\_DMP\_Final.pdf) for detailed descriptions of the processes, procedures, and partners involved in this collaborative effort.

**8.2 If no archive planned, why?**

**8.3 If any delay between data collection and submission to an archive facility, please explain.**

**8.4 How will data be protected from accidental or malicious modification or deletion?**

Data management standard operating procedures minimizing accidental or malicious modification or deletion are in place aboard the Okeanos Explorer and will be enforced.

**8.5 Prepare a Data Use Statement**

Data use shall be credited to NOAA Office of Ocean Exploration and Research.

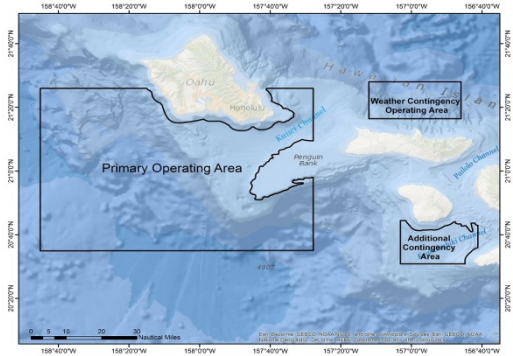
Okeanos Explorer (EX1602): Mission System Shakedown/CAPSTONE Mapping



## APPENDIX B: ROV Dive Summary Forms

# Okeanos Explorer ROV Dive Summary

<b>Site Name</b>	Engineering 1		
<b>ROV Lead/Expedition Coordinators</b>	Karl McLetchie/ Kasey Cantwell & Lindsay McKenna		
<b>Science Team Leads</b>	Chris Kelley (pre-cruise and onshore)		
<b>General Area Descriptor</b>	Main Hawaiian Islands		
<b>ROV Dive Name</b>	Cruise	Leg	Dive Number
	EX-16-02	N/A	DIVE01
<b>Equipment Deployed</b>	ROV:	Deep Discoverer	
	Camera Platform:	Seirios	
<b>ROV Measurements</b>	<input checked="" type="checkbox"/> CTD*	<input checked="" type="checkbox"/> Depth*	<input checked="" type="checkbox"/> Altitude
	<input checked="" type="checkbox"/> Scanning Sonar	<input checked="" type="checkbox"/> USBL Position*	<input checked="" type="checkbox"/> Heading
	<input checked="" type="checkbox"/> Pitch	<input checked="" type="checkbox"/> Roll	<input checked="" type="checkbox"/> HD Camera 1
	<input checked="" type="checkbox"/> HD Camera 2	<input checked="" type="checkbox"/> Low Res Cam 1	<input checked="" type="checkbox"/> Low Res Cam 2
	<input checked="" type="checkbox"/> Low Res Cam 3	<input checked="" type="checkbox"/> Low Res Cam 4	<input checked="" type="checkbox"/> Low Res Cam 2
	* This equipment was functioning, but was not recording information as the computer that stores and automates dive products was not functional. See "Equipment Malfunctions" section for more information.		
<b>Equipment Malfunctions</b>	Prior to the start of EX-16-02, there was a catastrophic failure of the computer system that records ROV position information, dive track data, dive depth and duration, and ROV CTD data. This computer underwent troubleshooting throughout the cruise to return functionality, but these efforts were unsuccessful. The computer was replaced, however all of the automated scripts and data pathways needed to be rewritten, so no position information or ROV CTD data was recorded during the two ROV dives of this cruise.		
<b>ROV Dive Summary (From processed ROV data)</b>	Date: 2/12/2016 Approximate ROV dive location: 21.14 N, 158.04 W** Approximate dive duration: 3.5 hours Approximate max depth: 400 m  ** Please note: No ROV position information collected. See information about equipment malfunction under "Equipment Malfunctions" section.		
<b>Special Notes</b>			
<b>Scientists Involved</b> <i>(please provide name)</i>	Chris Kelley, EX, UH, <a href="mailto:ckelley@hawaii.edu">ckelley@hawaii.edu</a>		



/ location / affiliation  
/ email)

### Purpose of the Dive

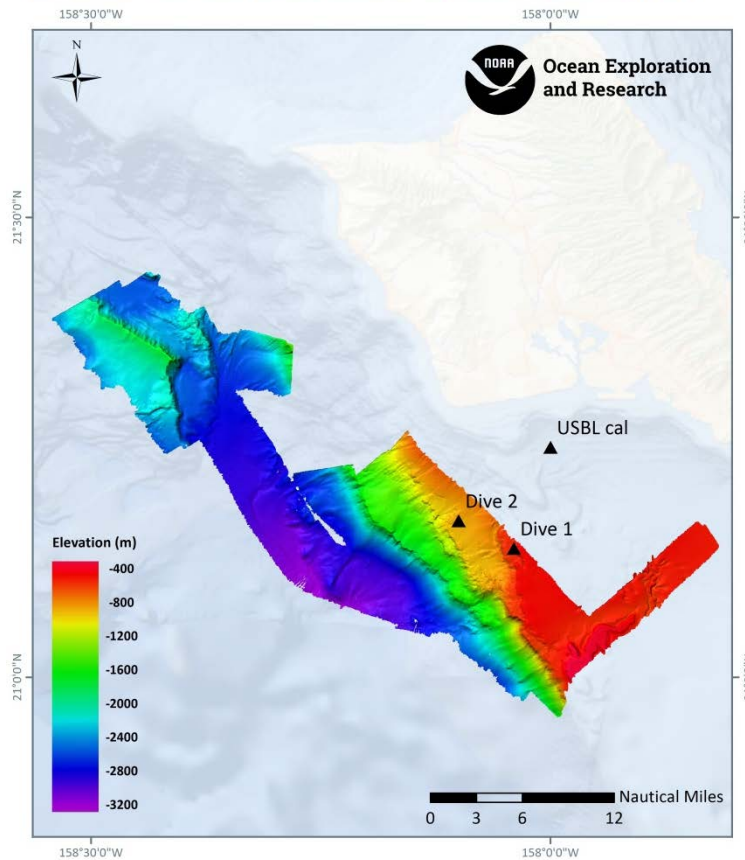
The main objective of this dive was to 1) familiarize new pilots and deck personnel with launch and recovery operations; 2) to train new ROV personnel on dive operations including navigation responsibilities, flying the ROVs, and the use of the manipulator arms; and 3) to check all equipment for functionality and readiness for the rest of the field season.

### Description of the Dive:

Dive site was rippled sand with few surface falls (leaves, wood). Biological observations included a few fish (including Ophichthyidae), an asteroid, broken urchin test, and a worm. A strong current prevailed throughout the dive.


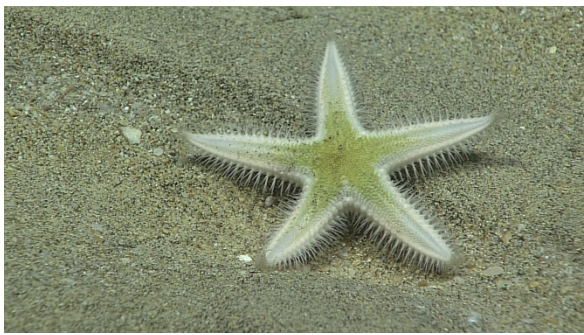
### Overall Map of ROV Dive Area

EX-16-02 Mission System Shakedown/ CAPSTONE Mapping

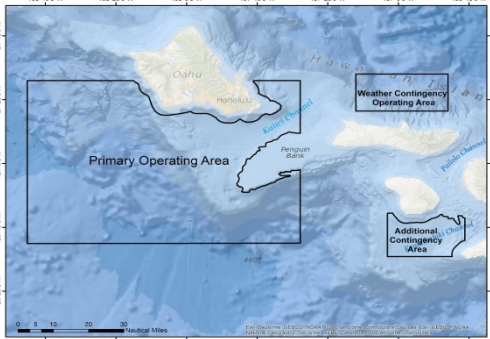


Map created by NOAA Office of Ocean Exploration and Research (NOAA-OER). Service Layer Credits: Esri, DeLorme, GEBCO, NOAA NGDC, and other contributors

### Representative Photos of the Dive

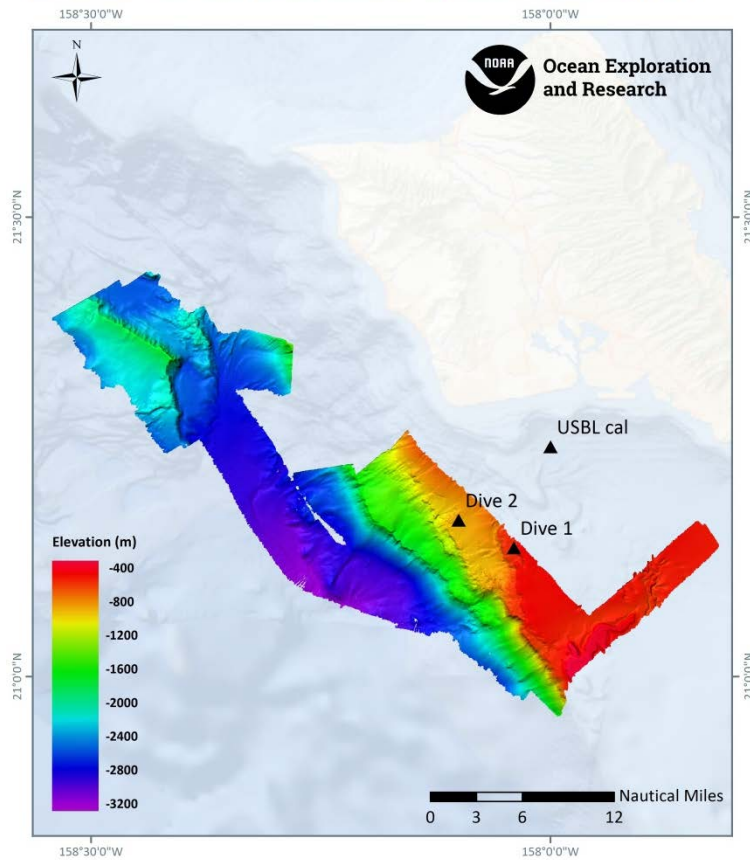
	
ROV pilots practice using the manipulator arm over a rippled, sedimented bottom.	A sea star observed towards the end of the dive.
<b>Samples Collected</b>	
No samples were collected during this dive.	
<b>Please direct inquiries to:</b>	NOAA Office of Ocean Exploration & Research 1315 East-West Highway (SSMC3 10 <sup>th</sup> Floor) Silver Spring, MD 20910 (301) 734-1014

## Okeanos Explorer ROV Dive Summary

<b>Site Name</b>	Engineering 2			
<b>ROV Lead/Expedition Coordinators</b>	Karl McLetchie/ Kasey Cantwell & Lindsay McKenna			
<b>Science Team Leads</b>	Chris Kelley (pre-cruise and onshore)			
<b>General Area Descriptor</b>	Main Hawaiian Islands			
<b>ROV Dive Name</b>	Cruise	Leg	Dive Number	
	EX-16-02	N/A	DIVE02	
<b>Equipment Deployed</b>	ROV:		Deep Discoverer	
	Camera Platform:		Seirios	
<b>ROV Measurements</b>	<input checked="" type="checkbox"/> CTD*	<input checked="" type="checkbox"/> Depth*	<input checked="" type="checkbox"/> Altitude	
	<input checked="" type="checkbox"/> Scanning Sonar	<input checked="" type="checkbox"/> USBL Position*	<input checked="" type="checkbox"/> Heading	
	<input checked="" type="checkbox"/> Pitch	<input checked="" type="checkbox"/> Roll	<input checked="" type="checkbox"/> HD Camera 1	
	<input checked="" type="checkbox"/> HD Camera 2	<input checked="" type="checkbox"/> Low Res Cam 1	<input checked="" type="checkbox"/> Low Res Cam 2	

	<input checked="" type="checkbox"/> Low Res Cam 3	<input checked="" type="checkbox"/> Low Res Cam 4	<input checked="" type="checkbox"/> Low Res Cam 2
	* This equipment was functioning, but was not recording information as the computer that stores and automates dive products was not functional. See "Equipment Malfunctions" section for more information.		
<b>Equipment Malfunctions</b>	Prior to the start of EX-16-02, there was a catastrophic failure of the computer system that records ROV position information, dive track data, dive depth and duration, and ROV CTD data. This computer underwent troubleshooting throughout the cruise to return functionality, but these efforts were unsuccessful. The computer was replaced, however all of the automated scripts and data pathways needed to be rewritten, so no position information or ROV CTD data was recorded during the two ROV dives of this cruise.		
<b>ROV Dive Summary (From processed ROV data)</b>	Date: 2/13/2016 Approximate ROV dive location: 21.14 N, 158.04 W** Approximate dive duration: 3.5 hours Approximate max depth: 400 m  ** Please note: No ROV position information collected. See information about equipment malfunction under "Equipment Malfunctions" section.		
<b>Special Notes</b>			
<b>Scientists Involved</b> <i>(please provide name / location / affiliation / email)</i>			
<b>Purpose of the Dive</b>			
The main objective of this dive was to 1) familiarize new pilots and deck personnel with launch and recovery operations; 2) to train new ROV personnel on dive operations including navigation responsibilities, positioning the vehicles during ascent and descent, flying the ROVs, and the use of the manipulator arms; and 3) to check all equipment for functionality and readiness for the rest of the field season.			
<b>Description of the Dive:</b>			
Dive 02 was conducted over what looked like weathered or eroded carbonate with soft sediment built up in between outcrops. Biological observations included solitary hydroids, siphonophores, octocorals, sponges, brisingid sea stars, a sea pen, crinoids on a chrysogorgid octocoral, fish in the water column, anemones, a shrimp, and an eel. Relative abundance was highest for the solitary hydroids of all organisms observed during this dive. Despite availability of hard substrate, and a good depth for deep sea corals and sponges, encrusting biota was only occasional. This is potentially due to the low slope of the area surveyed.			
<b>Overall Map of ROV Dive Area</b>			

EX-16-02 Mission System Shakedown/ CAPSTONE Mapping

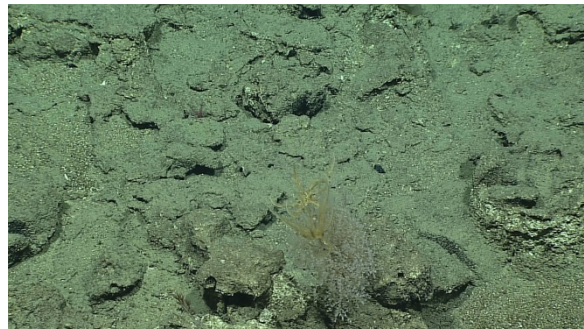


Map created by NOAA Office of Ocean Exploration and Research (NOAA-OER). Service Layer Credits: Esri, DeLorme, GEBCO, NOAA NGDC, and other contributors

**Representative Photos of the Dive**



Solitary hydroids were the most common organism observed.



Two crinoids are perched on a *Chrysogorgia* sp. surrounded by apparent weathered carbonate.

**Samples Collected**

No samples were collected during this dive.

**Please direct inquiries to:**

NOAA Office of Ocean Exploration & Research  
 1315 East-West Highway (SSMC3 10<sup>th</sup> Floor)  
 Silver Spring, MD 20910  
 (301) 734-1014

## **APPENDIX C: Mapping Files/Tables**

Data files can be downloaded or obtained from the following links:

Single beam and subbottom data at --<http://www.ngdc.noaa.gov/trackline/request/?surveyIds=EX1602>

Multibeam data and associated products and ancillary files at –

[http://www.ngdc.noaa.gov/nndc/struts/results?op\\_0=eq&t=101378&s=8&d=70&d=75&d=76&d=91&d=74&d=73&d=72&d=81&d=82&d=85&d=86&d=79&no\\_data=suppress&v\\_0=NEW2465](http://www.ngdc.noaa.gov/nndc/struts/results?op_0=eq&t=101378&s=8&d=70&d=75&d=76&d=91&d=74&d=73&d=72&d=81&d=82&d=85&d=86&d=79&no_data=suppress&v_0=NEW2465)